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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001\_1041

First Named Inventor : Tokuo NAKATANI et al.

Title: OPTICAL DISC RECORDING APPARATUS,  
COMPUTER-READABLE RECORDING MEDIUM RECORDING A FILE  
MANAGEMENT PROGRAM, AND OPTICAL DISC

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 101]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 42]
5. ☒ Oath or Declaration [Total Pages : 3]
- a.1. ☐ Newly executed (original or copy)
  - a.2. ☐ Unexecuted
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Claim of Priority Under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/692,831

Prior Application Information: Examiner V. Boccio Group Art Unit 2615

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

000513

PATENT TRADEMARK OFFICE

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July 24, 2001

THE COMMISSIONER IS AUTHORIZED  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Tokuo NAKATANI et al. :  
Serial No. NEW : **Attn: Application Branch**  
Filed July 24, 2001 : **Attorney Docket No. 2001\_1041**

OPTICAL DISC RECORDING APPARATUS, :  
COMPUTER-READABLE RECORDING :  
MEDIUM RECORDING A FILE MANAGEMENT :  
PROGRAM, AND OPTICAL DISC :  
(Rule 1.53(b) Divisional :  
of Serial No. 09/692,831, :  
Filed October 20, 2000)

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ACCOUNT NO. 23-0975

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$870.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application . . . . . \$710.00

Additional Claims Fee:

Excess of Twenty . . . . . \$

Independent . . . . . \$160.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Tokuo NAKATANI et al.

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